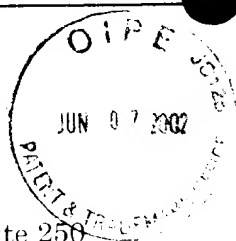




UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



FP00-034US

Jiawei Huang  
J.C. Patents  
4 Venture, Suite 250  
Irvine, CA 92618

In re Application of  
NAKANO et al.  
U.S. Application No. 09/856,402  
PCT No.: PCT/JP00/07229  
Int. Filing Date: 18 October 2000  
Priority Date: 18 October 1999  
Attorney Docket No.: EHAR0010  
For: POLISHING MACHINE FOR  
PERIPHERAL EDGE OF  
SEMICONDUCTOR

DECISION ON PETITION  
UNDER 37 CFR 1.47(a)

This is a decision on applicants' "Petition Under 37 CFR 1.47(a)" filed 12 October 2001 to accept the application without the signatures of joint inventor, Hitoshi Tambo. The required petition fee of \$130.00 (37 CFR 1.17(i)) has been submitted.

**BACKGROUND**

On 18 October 2000, applicants filed international application PCT/JP00/07229 which claimed a priority date of 18 October 1999 and designated the United States. A Demand for international preliminary examination was not filed prior to the expiration of nineteen months from the international filing date. Accordingly, the twenty-month period for paying the basic national fee in the United States was midnight, 18 June 2001.

On 21 May 2001, applicant filed a transmittal letter for entry into the national stage in the United States, which accompanied by, inter alia: the requisite basic national fee as required by 35 U.S.C. 371(c)(1); the international application; and a preliminary amendment.

On 21 June 2001, the United States Designated/Elected Office mailed a Notification of Missing Requirements under 35 U.S.C. 371 (Form PCT/DO/EO/905) indicating that an oath or declaration in compliance with 37 CFR 1.497(a) and (b) must be filed. The notification set a two-month time limit in which to respond.

On 12 October 2001, applicant filed the present petition under 37 CFR 1.47(a) and a two-month extension of time.

**DISCUSSION**

A petition under 37 CFR 1.47(a) must be accompanied by (1) the fee under 37 CFR

1.17(h), (2) factual proof that the missing joint inventor refuses to execute the application or cannot be reached after diligent effort, (3) a statement of the last known address of the missing inventor, and (4) an oath or declaration by each 37 CFR 1.47(a) applicant on his or her own behalf and behalf of the non-signing joint inventor. Items (1), (3) and (4) have been satisfied.

Regarding item (2) above, Section 409.03(d) of the Manual of Patent Examining Procedure (M.P.E.P.), **Proof of Unavailability or Refusal**, states, in part:

Where a refusal of the inventor to sign the application papers is alleged, the circumstances of its refusal must be specified in an affidavit or declaration by the person to whom the refusal was made. Statements by a party not present when an oral refusal is made will not be accepted.

Before a refusal can be alleged, it must be demonstrated that a *bona fide* attempt was made to present a copy of the application papers (specification, including claims, drawings, and oath or declaration) to the nonsigning inventor for signature.

When there is an express oral refusal, that fact along with the time and place of the refusal must be stated in the affidavit or declaration. When there is an express written refusal, a copy of the document evidencing that refusal must be made part of the affidavit or declaration.

When it is concluded by the 37 CFR 1.47 applicant that a nonsigning inventor's conduct constitutes a refusal, all facts upon which the conclusion is based should be stated in an affidavit or declaration. If there is documentary evidence to support facts alleged in the affidavit or declaration, such evidence should be submitted. Whenever a nonsigning inventor gives a reason for refusing to sign the application oath or declaration, that reason should be stated in the affidavit or declaration.

A review of the present petition and the accompanying papers reveal that applicant has not satisfied item (2) above, in that the applicants have not shown that a bona fide attempt was made to present the application papers, including the specification, claims, and drawings to Hitoshi Tambo. The mailing of the Declaration, Assignment, and Power of Attorney is not considered a complete copy of the application paper (specification, including claims, drawings, and oath and declaration). Additionally, Mineo Ishii states, "[t]he letter was delivered to Mr. Tambo on August 26, 2001" and has provided copies of the registered letter (Evidence 1) and delivery certificate (Evidence 2) to Mr. Tambo, however, these documents are in Japanese and have not been translated. Nor has Tambo's response (i.e. Evidence 3) been translated. Applicant's must provide complete translations of Evidence 1, 2 and 3. Lastly, the declaration of Mineo Ishii states that, "Mr. Yushuhiro Kosawa succeeded in contacting with Mr. Tambo by telephone on September 5, 2001, and requested

his signature. In response, Mr. Tambo told Mr. Kozawa that he would not sign the documents." As stated above, "[w]here a refusal of the inventor to sign the application papers is alleged, the circumstances of its refusal must be specified in an affidavit or declaration by the person to whom the refusal was made." The statements regarding the refusal constitutes secondhand knowledge in that the refusal was made to Yasuhiro Kozawa, not Mineo Ishii.

For the reasons stated above, it would not be appropriate to accept the application without the signature of Hitoshi Tambo under 37 CFR 1.47(a) at this time.

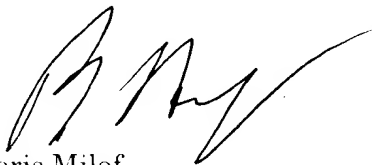
Additionally, the declaration is executed by Yasuhiro Kozawa, whereas the international application lists the inventor as Yasuhiro Kosawa. Clarification is required.(See MPEP 201.03, Page 200-5).

### CONCLUSION

The petition under 37 CFR 1.47(a) is **DISMISSED** without prejudice.

If reconsideration on the merits of this petition is desired, a proper response must be filed within **TWO (2) MONTH** from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.47(a)." No additional petition fee is required.

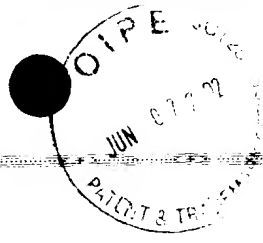
Any further correspondence with respect to this matter should be addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, with the contents of the letter marked to the attention to the PCT Legal Office.



Boris Milef  
PCT Legal Examiner  
PCT Legal Office



Anthony Smith  
Attorney-Advisor  
PCT Legal Office  
Tel: 703-308-6314  
Facsimile: 703-308-6459



J.C. PATENTS, INC.  
PH 949-660-0761  
1340 REYNOLDS AVENUE  
IRVINE, CA 92614

5023

16-395/1222

10/19/2001 DATE

PAY TO THE  
ORDER OF

Commissioner for Patents

\$ 395

Three hundred ninety five DOLLARS



Security  
Features  
Details on  
Back



CATHAY BANK

15323 Culver Drive, Irvine, CA 92604  
1-800-9-CATHAY

FOR 09/856,402 (EHAR0010)

*[Signature]*

MP

⑆ 122203950⑆ 5023 ⑆ 140012 421⑆

© HAWAII 2000

### UTILITY PATENT

DATE: October 09, 2001

### Response to Notice to File Missing Parts

DATE OF OA: June 21, 2001

Received in the U.S. Patent and Trademark Office on the date stamped hereon:

Atty. Docket #: **EHAR0010** Appl. No. 09/856,402 Filed on: 2001/5/21

Examiner:

Art Unit

Applicant(s): TERUYUKI NAKANO et al

Title: POLISHING MACHINE FOR PERIPHERAL EDGE OF SEMICONDUCTOR

- |   |   |
|---|---|
| <input checked="" type="checkbox"/> Transmittal Sheet in Duplicate  | <input checked="" type="checkbox"/> Response to Notification of Missing Parts |
| <input checked="" type="checkbox"/> Petition under 37 CFR 1.47.   | <input checked="" type="checkbox"/> Copy of Notification.                     |
| <input checked="" type="checkbox"/> A copy of Executed Declaration and Power of Attorney signed by the inventors. |   |
| <input checked="" type="checkbox"/> Check \$ 395 for petition fee.  |   |
| <input checked="" type="checkbox"/> Request for Changing Correspondence Address.                                  |   |
| <input checked="" type="checkbox"/> Return Postcard   |   |

**PLEASE DATE, STAMP AND RETURN**

PATENT



Docket No.: EHAR0010

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: TERUYUKI NAKANO et al.

Application No.: 09/856,402

Filed: May 21, 2001


For: POLISHING MACHINE FOR PERIPHERAL  
EDGE OF SEMICONDUCTOR

Examiner:

) I hereby certify that this correspondence  
) and all marked attachments are being  
) deposited with the United States Postal  
) Service as first class mail in an envelope  
) addressed to: Assistant Commissioner  
) for Patents, Washington, D.C. 20231, on

October 09, 2001

(Date)

)   
) Jiawei Huang, Reg. No. 43,330  
)

**TRANSMITTAL LETTER AND COMMUNICATION**

**BOX MISSING PARTS**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

In response to the Notification of Missing Requirements under 37 U.S.C. 371, which was mailed by the Patent and Trademark Office on June 21, 2001, enclosed are:

- (X) Petition under 37 CFR 1.47 (a).
- (X) A Declaration and Power of Attorney executed by inventor(s).
- (X) An extension of time to respond for 2 month(s) is hereby requested.

Time Extension Fee:

- ☐ one month (\$ 55 small entity)
- ☒ two months (\$ 200 small entity)
- ☐ three months (\$ 460 small entity)

- (X) A copy of Notification of Missing Requirement under 35 USC 371.
- (X) Request for Changing Correspondence Address.

**OTHER ITEMS**

- (X) Return prepaid postcard.
- (X) Fees as calculated below:

CLAIMS AS FILED

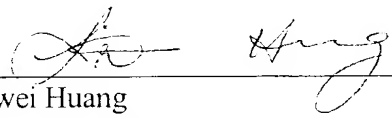
Petition Fee under 37 CFR 1.47 (a)	\$ 130
Surcharge Fee under 37 CFR 1.492 (e)	\$ 65
Fee for [2 ] Months Time extension	\$ 200
<b>For a small entity: TOTAL FILING FEE</b>	<b>\$ 395</b>

(X) A check in the amount of \$ 395 cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 50-0710 (Order No. EHAR0010). A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
J.C. PATENTS,

Dated: 10 / 9 / 2001

By:   
Jiawei Huang  
Registration No. 43,330

Please send Correspondence to:  
4 Venture, Suite 250  
Irvine, California 92618  
(949) 660-0761

In re application of: )  
Application No.: 09/856,402 )  
Filed: May 21,2001 )  
For: POLISHING MACHINE FOR PERIPHERAL )  
EDGE OF SEMICONDUCTOR )  
  
Examiner: )  
Art Unit: )

**PETITION UNDER 37 CFR 1.47 (a)**

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

As evidenced by the attached copy of the letters dated August 22, 2001 ("evidence 1") and September 9, 2001 ("evidence 3") and the attached statement of Mr. Mineo Ishii, diligent effort has been made to reach the inventor, Hitoshi Tambo, of the above-identified application. But Mr. Tambo refused to the application papers.

According to the employment agreement, all intellectual property rights of Tambo's inventions made during his employment at Kabushiki Kaisha Ishii Hyoki have been assigned, or under obligation to be assigned, to Kabushiki Kaisha Ishii Hyoki. Accordingly, the other inventors, Teruyki Nakano; and Yasuhiro Kozawa, of the above-identified application, made the application for patent on behalf of Hitoshi Tambo under 37 C.F.R. 1.47(a) so as to preserve the assignee's rights.


The last known address of Hitoshi Tambo is:

4-7-9, Eda-Nisi, Aoba-Ku,  
Yokohama-shi, Kanagawa-ken, Japan

The required fee \$130 under 37 C.F.R. 1.17(h) is enclosed.

Respectfully submitted,

J.C. PATENTS

  
Jiawei Huang

Registration No. 43,330

4 Venture, Suite 250  
Irvine, CA 92618  
(949) 660-0761



PATENT

Docket No. «IMPORT\_CASE\_JCPI\_DOCKET\_NO»

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: TERUYUKI NAKANO et al. )  
Application No.: 09/856,402 )  
Filed: May 21, 2001 )  
For: POLISHING MACHINE FOR )  
PERIPHERAL EDGE OF )  
SEMICONDUCTOR )  
Attorney Docket No. EHAR0010 )  
Examiner: )  
Art Unit: )

---

**DECLARATION OF MINEO ISHII**

I, Mineo Ishii, do hereby declare that:

1. I am the president of Kabushiki Kaisha Ishii Hyoki, a company located at 5, Asahigaoka, Kannabecho, Fukayasu-gun, Hiroshima 720-2113, Japan.
2. I am aware that the above-identified application names as joint inventors: Teruyuki Nakano; Yasuhiro Kozawa; and Hitoshi Tambo.
3. I am aware that Mr. Hitoshi Tambo was hired by Kabushiki Kaisha Ishii Hyoki on April 10, 2000 as an engineer and retired on May 20, 2001. I am further aware that, while working at Kabushiki Kaisha Ishii Hyoki, Mr. Tambo contributed to the development of the invention disclosed and claimed in the above-identified application.
4. I am aware that Mr. Tambo's last known address at the time he retired from Kabushiki Kaisha Ishii Hyoki was 4-7-9, Eda-Nisi, Aoba-Ku, Yokohama-shi, Kanagawa-ken, Japan.
5. I am aware that, according the employment agreement, all intellectual property rights of Mr. Tambo's inventions made during his employment at Kabushiki Kaisha Ishii Hyoki have been assigned, or under obligation to be assigned, to Kabushiki Kaisha Ishii Hyoki.
6. Kabushiki Kaisha Ishii Hyoki has attempted to contact Mr. Tambo by mail and telephone to obtain his signature on the documents (the Declaration and Power



of Attorney, and the Assignment). More particularly:

- a) Kabushiki Kaisha Ishii Hyoki sent Mr. Tambo a registered letter dated August 22, 2001 to inform him the filing of the above identified application and to request him to execute the documents. (See the attached copy of the receipt of the registered letter labeled as "evidence 1").
- b) The letter was delivered to Mr. Tambo on August 26, 2001. (See the attached copy of the delivery certificate labeled as "evidence 2").
- c) After receiving the returned delivery certificate, Mr. Yasuhiro Kozawa, on behalf of Kabushiki Kaisha Ishii Hyoki, tried to contact Mr. Tambo by telephone on August 30, and September 5, 2001.
- d) Mr. Yasuhiro Kozawa succeeded in contacting with Mr. Tambo by telephone on September 5, 2001, and requested his signature. In response, Mr. Tambo told Mr. Kozawa that he would not sign the documents.
- e) Mr. Kozawa received a letter dated September 9, 2001 from Mr. Tambo with the documents returned without his signature. (See the attached copy of Mr. Tambo's <sup>letter</sup> labeled as "evidence 3").

7. Based on my present knowledge, Mr. Tambo has refused to sign the Declaration and Power of Attorney, and the Assignment.

8. I declare that all the statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issued thereon.

Dated: 1, Oct., 2001

By: 石井 峰夫  
Mineo Ishii  
President  
Kabushiki Kaisha Ishii Hyoki

この郵便物は平成 13 年 8 月 21 日第 18  
書留内容証明郵便物として差出したことを証明します  
御 領 郵 便 局 長





evidence 2

郵便物配達証明書

受取人の氏名	丹保 仁志 様
引受番号	180-18-13812-1
上記の郵便物は、13年8月26日配達したのでこれを証明します。	
山形県 山形市 山形郵便局	

ユ 07370 (13.3・ヨシダ④納・03)

再生紙使用



郵便物配達証明書

受取人の氏名	丹保 仁志 様
引受番号	180-18-13813-2
上記の郵便物は、13年8月26日配達したのでこれを証明します。	
山形県 山形市 山形郵便局	

ユ 07370 (13.3・ヨシダ④納・03)

再生紙使用

evidence 3

小澤さん.

特許は、特許にサインする必要もない

様なののでサインせずにお送りします。

丹保

2001/9/9



## DECLARATION AND POWER OF ATTORNEY - USA PATENT APPLICATION (37 CFR 1.63)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

POLISHING MACHINE FOR PERIPHERAL EDGE OF SEMICONDUCTOR

the specification of which:

- (a) ( ) is attached hereto, or  
 (b) (X) was described and claimed in PCT International Application No. PCT/JP00/07229 filed on 10/18/00 (MM/DD/YY) and as amended under PCT Article 19 on \_\_\_\_\_ (if any) and/or under PCT Article 34 on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to the patentability as defined in 37 CFR 1.56;

I hereby claim foreign priority benefits under 35 U.S.C. 119 (a)-(d) or 365(b) of any foreign application(s) for patent, design or inventor's certificate, or 365(a) of any PCT international application(s) which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application(s) of which priority is claimed:

### PRIOR FOREIGN APPLICATION(S):

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (MM/DD/YY)	PRIORITY CLAIMED
JP	11-295847	10/18/99	YES
JP(PCT)	PCT/JP00/07229	10/18/00	YES

### **POWER OF ATTORNEY:**

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Jiawei Huang (Reg. No. 43,330) Charles C.H. Wu (Reg. No. 39,081)  
 Maria Erlinda C. Sarno (Reg. No. 37,436) Belinda Lee (Reg. No. 46,8632)

### SEND CORRESPONDENCE TO:

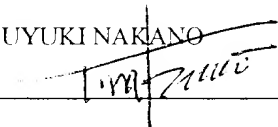
J.C. Patents, Inc.  
 1340 Reynolds Ave., Suite 114  
 Irvine, California 92614  
 (949) 660-0761

### DIRECT TELEPHONE CALLS TO: (Name and telephone number)

Jiawei Huang  
 (949) 660-0762

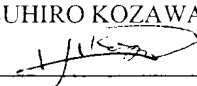
**DECLARATION AND POWER OF ATTORNEY -CONTINUED**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: TERUYUKI NAKANOInventor's signature: Date: 10. July, 2001

Citizenship: JAPAN

Residence and Post Office Address: 5, ASAHIGAOKA, KANNABECHO, FUKAYASU-GUN, HIROSHIMA 720-2113, JAPAN.

Full name of second inventor: YASUHIRO KOZAWAInventor's signature: Date: 10. July, 2001

Citizenship: JAPAN

Residence and Post Office Address: 5, ASAHIGAOKA, KANNABECHO, FUKAYASU-GUN, HIROSHIMA 720-2113, JAPAN.

Full name of third inventor: HITOSHI TAMBO

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Citizenship: JAPAN

Residence and Post Office Address: 5, ASAHIGAOKA, KANNABECHO, FUKAYASU-GUN, HIROSHIMA 720-2113, JAPAN.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/856402	NAKANO	K
J C PATNETS 1340 REYNOLDS AVENUE SUITE 114 IRVINE, CA 92614		ECHAR0010
INTERNATIONAL APPLICATION NO.		
PCT/JP00/07229		
1A FILING DATE	PRIORITY DATE	
18 OCT 00	18 OCT 99	

DATE MAILED 21 JUN 2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☐ an Elected Office (37 CFR 1.495):

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> U.S. Basic National Fee.  | <input checked="" type="checkbox"/> Indication of Small Entity Status.                         |
| <input checked="" type="checkbox"/> Copy of the international application.  | <input checked="" type="checkbox"/> Translation of the international application into English. |
| <input type="checkbox"/> Oath or Declaration of inventor(s).  | <input type="checkbox"/> Translation of Article 19 amendments into English.                    |
| <input type="checkbox"/> Copy of Article 19 amendments.   | <input type="checkbox"/> Other:  |
| <input checked="" type="checkbox"/> Priority Document.  |  |
| <input type="checkbox"/> The International Preliminary Examination Report in English and its Annexes, if any.     |  |
| <input type="checkbox"/> Translation of Annexes to the International Preliminary Examination Report into English. |  |

2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.

- ☐ U.S. Basic National Fee. ☐ Copy of the international application.

3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
- ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
- ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

4. Additional claim fees of \$\_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

5. Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

**ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.492(g).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.

7. The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

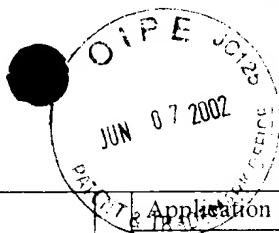
Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice *MUST* be returned with this response.**

Enclosed: PCT/DO/EO/917 ☐ Notice of Defective Translation  
PTO-875 ☐ PCT/DO/EO/920

VONDA WALLACE

**PATENT**



<p align="center"><b>CHANGE OF CORRESPONDENCE ADDRESS</b></p> <p align="center">Application</p>	Application No.:	09/856,402
	Filing Date:	2001/5/21
	First Named Inventor:	TERUYUKI NAKANO
	Group Art Unit:	
	Examiner:	
	Attorney Docket No.:	EHAR0010

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

Sir:

Please change the Correspondence Address for the above-identified application to:

<input checked="" type="checkbox"/> Firm or Individual Name	<b>J.C. PATENTS INC.</b>				
Address	<b>4 Venture, Suite 250</b>				
City	<b>Irvine</b>	State	<b>CA</b>	ZIP	<b>92618</b>
Country	<b>US</b>				
Telephone	<b>(949)660-0761</b>	Fax	<b>(949)660-0809</b>		

I am the:

- ☐ Applicant / Inventor.
- ☐ Assignee of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)
- ☒ Attorney or Agent of record.
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration No. \_\_\_\_\_

Type or Printed Name	<b>Jiawei Huang</b>	Registration No.	<b>43,330</b>
Signature			
Date	<b>October 9, 2001</b>		

☒ Total of 1 form is submitted.

**EHAR0010**